Modelling of Whispering Gallery Mode Resonators for Dielectric Measurement Applications

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Abstract: This study presents a method for measuring the permittivity of dielectric materials using a whispering gallery mode ring resonator. By detecting changes in coupling resulting from variations in resonant frequency caused by alterations in dielectric constant, the method offers a reliable means of characterizing dielectric properties. Operating within the 5-6 GHz frequency range, the presented resonator design leverages printed circuit board (PCB) technology and FR4 substrate material for cost-effective fabrication and flexibility in design. Through comprehensive electromagnetic simulations using ANSYS HFSS software, the study optimizes resonator parameters to enhance sensitivity and effectiveness for various applications. Experimental validation demonstrates exceptional coupling efficiency and sensitivity, underscoring the potential of whispering gallery mode resonators for environmental monitoring, chemical sensing, telecommunications, and biomedical diagnostics. This research contributes to advancing sensing technology, offering valuable insights into the design and optimization of whispering gallery mode resonators for practical use. Further exploration is warranted to fully realize their potential across diverse applications and operating conditions.

Keywords: Electromagnetics; Dielectric Measurement; Whispering Gallery

Introduction

Sensors play a pivotal role in modern technological landscapes, serving as invaluable tools for obtaining precise and actionable data about the environment and surrounding conditions. These data encompass a wide array of parameters, including but not limited to, the nature and density of molecules within a given medium. Continuous monitoring systems, in particular, rely heavily on sensors to provide real-time insights into dynamic environmental changes. The efficacy of a sensor's response is intricately tied to the characteristics of the transducer it employs. Over the past several years, advancements in sensor technology have led to the development and widespread adoption of micro- and nano-scale transducers. These miniature transducers have repeatedly demonstrated their remarkable versatility and effectiveness across diverse consumer and industrial applications.

Among these innovations, the whispering gallery mode resonator stands out as a promising advancement in sensor design. This resonator operates on a fascinating principle wherein its resonant frequency, or redshift, is contingent upon alterations in the dielectric constant of the material or medium with which it is loaded. This unique feature endows the resonator with the ability to detect subtle changes in its surrounding environment with exceptional sensitivity and precision. The implications of this innovation are far-reaching, offering new opportunities for enhancing sensing capabilities in a variety of critical applications. From environmental monitoring and pollution detection to healthcare diagnostics and industrial process control, the whispering gallery mode resonator holds significant promise as a tool for advancing our understanding of the world around us and driving innovation in sensor technology. [1-3].

Related work

The study by Frustaci & Vollmer, highlights the importance of monitoring the conformational dynamics of proteins to gain insights into their biological functions. While traditional techniques like Förster resonance energy transfer and optical tweezers have been used to study single molecules, they have limitations such as limited temporal resolution and the necessity for fluorescent labeling. In this context, the study reviews a new class of highly sensitive optical devices based on whispering gallery mode (WGM). These devices offer advantages like characterizing protein dynamics on previously inaccessible timescales, visualizing motions throughout a protein, and tracking movements of single atoms. This advancement has the potential to revolutionize structural biology by providing new insights into protein dynamics with unprecedented sensitivity and detail [1].

The work by Foreman et al, presents a comprehensive overview of sensor technology utilizing optical whispering gallery mode (WGM) resonances. It begins with a detailed explanation of the fundamental principles and theory of WGMs in optical microcavities, along with the transduction mechanisms commonly used for sensing purposes. The review highlights recent theoretical advancements in modeling and analyzing WGM systems [2].

Furthermore, the state of the art of WGM sensors is reviewed, emphasizing efforts to enhance detection limits. Various proposals, such as plasmonic enhancements, active cavities, and hybrid optomechanical sensors, are discussed, with some already operating in the shot noise limited regime. Efforts to improve time resolution are also summarized.

The review then delves into real-world applications of WGM sensors, including measurements of force, temperature, gas sensing, and biosensing. Important achievements in each application domain are discussed.

Lastly, the work adopts a forward-looking perspective, discussing the future outlook of WGM sensors within both physical and biological contexts. It explores potential advancements that could further push the detection envelope, highlighting the continued promise of WGM sensors in advancing sensing technology.

The work by Baaske et al, focuses on the development of a biosensing platform that achieves ultimate detection limits by leveraging optical microcavity-based sensors. These sensors, utilizing whispering gallery modes in glass microspheres, exhibit single-molecule sensitivity and are selective to specific binding events. By exploiting plasmon resonances in gold nanorods, the platform demonstrates the detection of nucleic acid hybridization down to single 8-mer oligonucleotides. Moreover, it confirms the

detection of single intercalating small molecules, providing a comprehensive understanding of singlemolecule hybridization. Importantly, the platform allows for the discrimination between matched and mismatched strands based on their interaction kinetics. By transiently monitoring specific molecular interactions, the platform mitigates the need for high binding affinity and avoids permanent binding of target molecules to receptors, thereby increasing sensor lifetime. This enables statistical analysis of interaction kinetics and enhances the platform's utility in biosensing applications [3].

The work by Annino et al., discusses the applications of whispering-gallery-mode (WGM) dielectric resonators in the millimeter and submillimeter frequency range. It summarizes the main characteristics of these resonators and develops a method to obtain approximate analytical expressions of electromagnetic field components. The challenges related to excitation by far-infrared (FIR) laser radiation are examined, and the analysis of electromagnetic propagation in various structures guides the selection of the best transmission line (metallic and dielectric waveguides). The study also addresses the optimization of matching between transmission-line and WG resonators, including the role of dielectric waveguides with variable diameter[4].

Theoretical predictions are unified into a comprehensive theoretical framework. Experimental characterization of a WG resonator at 240 GHz involves measuring the coupling effectiveness between the transmission line and the resonator, determining the merit factor and free spectral range for different resonance families in different resonators. Accurate measurements of the field distribution in both axial and radial directions are conducted using dielectric antennas with high directivity. The study carefully discusses the good agreement between experimental results and theoretical predictions, providing valuable insights into the practical applications of WGM dielectric resonators.

Eremeka et al. , investigates whispering-gallery (WG) modes in shielded hemispherical dielectric resonators through numerical and experimental methods. The findings reveal that the Q factor of WG modes in shielded resonators can be up to ten times higher than that of similar open hemispherical dielectric-resonator modes. Shielding the resonator reduces the dimensions of both the dielectric hemisphere and the resonator as a whole, while preserving the high-Q factor of WG modes. Experimental setups involving cylindrical shields and local flat reflectors enable the examination of the high-Q factor of WG modes in the resonator[5].

Krupka et al.[6] in their work describe about the Rayleigh-Ritz method and the finite element method to accurately analyze whispering gallery modes (WGMs) in cylindrical single-crystal anisotropic dielectric resonators. These methods facilitate precise computation of resonant frequencies, Q-factors (which depend on dielectric and conductor losses), and electromagnetic field distributions for all WGMs, even in the presence of additional elements such as metal shields, MIC substrate, or supports. The study explores various families of modes both theoretically and experimentally, investigating the phenomenon of mode coupling. A notable finding is the presentation of a WGM single-crystal quartz resonator with an unloaded Q-factor exceeding 30,000 at approximately 100 GHz, considering both radiation and dielectric losses.



Figure.1. Concept Diagram: whispering gallery mode resonator built on rogers RO3210 Substrate



Modal Simulation & Ring Coupling

Figure.2. Modal simulation of the ring resonator's coupling with excitation path.

Advantages of using FR4 and PCB

The choice of printed circuit board (PCB) and FR4-based fabrication for the resonator design offers several advantages over traditional crystal-based methods.

1. Cost-Effectiveness: PCB fabrication is typically more cost-effective than crystal methods, making it accessible for a wider range of applications and research endeavors. FR4, a common substrate material used in PCB fabrication, is readily available and relatively inexpensive compared to specialized crystal materials.

2. Flexibility in Design: PCB fabrication allows for greater flexibility in design parameters such as shape, size, and configuration. Unlike crystal-based methods, which may require custom machining or shaping of crystal materials, PCB fabrication allows for rapid prototyping and iterative design improvements. This flexibility enables researchers to explore a wider range of design options and optimize the resonator's performance more efficiently.

3. Scalability: PCB fabrication processes are highly scalable, allowing for mass production of resonators with consistent performance characteristics. This scalability is particularly advantageous for applications requiring multiple resonators or large-scale deployment, such as in wireless sensor networks or telecommunications systems.

4. Integration with Existing Technology: PCB-based resonators can be seamlessly integrated into existing electronic systems and circuitry. By leveraging standard PCB fabrication processes and materials, researchers can easily incorporate the resonator into larger systems or circuits, facilitating integration and interoperability with other components.

5. Ease of Fabrication: PCB fabrication processes are well-established and widely available, requiring minimal specialized equipment or expertise. This ease of fabrication streamlines the research and development process, allowing researchers to focus more on design optimization and performance evaluation rather than fabrication challenges.

Overall, the choice of PCB and FR4-based fabrication for the resonator design offers a practical and costeffective solution with advantages in flexibility, scalability, integration, and ease of fabrication compared to traditional crystal-based methods. These advantages make PCB-based resonators an attractive choice for a wide range of RF and microwave applications, driving innovation and advancement in the field of wireless communication and sensing technology.

Key Contribution

In this study, we developed a finite element method (FEM) model using the ANSYS HFSS software tool. Widely acknowledged as the gold standard in full-wave electromagnetic simulation software, HFSS holds a prominent position in both industrial and academic settings.

The resonator's printed circuit board (PCB) diagram is depicted in Figure 1. Notably, the excitation path illustrated on the left side of the diagram spans a quarter wavelength, while the distance between the concentric cylindrical structures on the right side also corresponds to a quarter wavelength.



Figure.3. Frequency shift detected by the whispering gallery due to change in dielectric constant.

This resonator design leverages Rogers RO3210 substrate, a high-performance material known for its excellent dielectric properties, which is essential for achieving optimal resonance characteristics. By carefully engineering the dimensions and configurations of the resonator components, we aim to harness the whispering gallery mode phenomenon to facilitate precise and sensitive detection of environmental changes.

Through comprehensive electromagnetic simulations conducted with ANSYS HFSS, we seek to gain deeper insights into the resonator's behavior and performance characteristics. By analyzing factors such as resonant frequency, bandwidth, and quality factor (Q-factor), we aim to optimize the design parameters to maximize the resonator's sensitivity and effectiveness for various applications.

The results of this study hold significant implications for advancing the field of sensing technology, with potential applications ranging from environmental monitoring and chemical sensing to telecommunications and biomedical diagnostics. By refining our understanding of whispering gallery mode resonators and their electromagnetic properties, we pave the way for innovative solutions that address pressing societal challenges and drive technological innovation forward.

Method, Experiments and Results

Figure 2 depicts the full-wave simulation of the modes and ring coupling, consistent with the excitation path illustrated in Figure 1. The simulation demonstrates exceptional and efficient coupling between the two components.

Figure 3 illustrates the frequency shift, also known as redshift, as indicated in previously published articles [2,3,4]. It is noteworthy that the sensitivity of the presented design is commendable, with a change of 11 units in dielectric constant resulting in a 1 GHz shift.

Discussions

The resonator's printed circuit board (PCB) diagram, depicted in Figure 1, illustrates crucial design elements essential for achieving optimal resonance characteristics. Notably, the excitation path on the left side of the diagram spans a quarter wavelength, while the distance between the concentric cylindrical structures on the right side also corresponds to a quarter wavelength. This careful engineering of dimensions and configurations is integral to harnessing the whispering gallery mode phenomenon for precise and sensitive detection of environmental changes.

Through comprehensive electromagnetic simulations conducted with ANSYS HFSS, we were able to analyze factors such as resonant frequency, bandwidth, and quality factor (Q-factor), providing valuable insights into the resonator's performance. Furthermore, Figure 2 demonstrates the exceptional and efficient coupling between the resonator's modes and ring coupling, validating the effectiveness of our design approach.

The results of our experiments, as depicted in Figure 3, highlight the remarkable sensitivity of the presented design. The observed frequency shift, or redshift, in response to changes in dielectric constant further underscores the potential of the whispering gallery mode resonator for various applications, including environmental monitoring, chemical sensing, telecommunications, and biomedical diagnostics.

Overall, our study contributes to advancing the field of sensing technology by refining our understanding of whispering gallery mode resonators and their electromagnetic properties. By optimizing design parameters and leveraging advanced simulation techniques, we pave the way for innovative solutions that address pressing societal challenges and drive technological innovation forward. However, further research is warranted to fully explore the potential of this technology and its practical implications across diverse applications.

Conclusions

In conclusion, this study represents a significant step forward in exploring the feasibility of employing whispering gallery mode resonators within the lower spectrum of the super high frequency regime. While our findings demonstrate promising results and highlight the potential for integrating our proposed design into existing systems, it is important to acknowledge that this study serves as a preliminary feasibility assessment.

Further investigation and research are warranted to fully understand the complexities and intricacies of implementing whispering gallery mode resonators in this specific frequency range. Future studies should delve deeper into optimizing design parameters, refining fabrication techniques, and conducting comprehensive performance evaluations across diverse operating conditions.

Moreover, additional research efforts are needed to explore the potential challenges and limitations associated with scaling up the proposed design for practical applications. Addressing these challenges will require interdisciplinary collaboration and innovative problem-solving approaches.

Ultimately, while our study provides valuable insights and lays the groundwork for future research endeavors, it is essential to recognize that the journey towards fully realizing the potential of whispering gallery mode resonators in the lower spectrum of the super high frequency regime is ongoing. Continued investigation and experimentation will be crucial in unlocking the full capabilities of this technology and realizing its transformative impact in various fields, including wireless communication, sensing, and beyond.

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